

## **BROCHURE SUPPLEMENT (FORM ADV Part 2B)**

**SCOTT GILLESPIE  
JAMES B. GRIBBELL  
MATTHEW S. GULESERIAN  
NAN-MARIE JAEGER  
JOHN R. LAYTON  
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# BROCHURE SUPPLEMENT

**SCOTT GILLESPIE, CFA**

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## **Scott Gillespie, CFA**

Year of Birth: 1988

### **Educational Background and Business Experience**

Education: Wake Forest University BA

Professional Designations: CFA (Chartered Financial Analyst)

The Chartered Financial Analyst (CFA) charter is a globally respected, graduate-level investment credential awarded by the CFA Institute. To obtain a CFA designation, a candidate must meet one of the following requirements: undergraduate degree and four years of professional experience involving investment decision-making, or four years qualified work experience and successfully complete three course examinations. The CFA Program curriculum provides a comprehensive framework of knowledge for investment decision making and is firmly grounded in the knowledge and skills used every day in the investment profession.

Business Background:	LMCG Investments, LLC (Formerly known as Lee Munder Capital Group, LLC) Portfolio Manager, Private Client Group	10/2023 – present
	Artemis Financial Advisors Portfolio Manager	03/2020 – 10/2023
	Little House Capital Portfolio Manager	10/2017 – 03/2020
	Peoples United Wealth Management Analyst/Client Portfolio Manager	06/2014 – 10/2017
	State Street Corporation Associate	06/2012 – 06/2014

### **Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item.

### **Other Business Activities**

None

### **Additional Compensation**

Bonuses are formula driven and are generally based on assets managed, revenues, and where applicable performance relative to peer groups.

### **Supervision**

Scott Gillespie serves as a Portfolio Manager at LMCG Investments, LLC (LMCG), and reports to the Firm's Chief



INVESTMENTS

Executive Officer Kenneth L. Swan, who can be reached at (617) 380-5600.

The advice Mr. Gillespie provides to clients is monitored by the Investment Review Committee and the Director of Risk and Investment Strategy.



## BROCHURE SUPPLEMENT

**JAMES B. GRIBBELL, CFA**

### **LMCG Investments, LLC**

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## **James B. Gribbell, CFA**

Year of Birth: 1967

### **Educational Background and Business Experience**

Education: University of Pennsylvania, The Wharton School BS

Professional Designations: CFA (Chartered Financial Analyst)

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Business Background: LMC G Investments, LLC 07/2009 – present  
(Formerly known as Lee Munder Capital Group, LLC)  
Portfolio Manager, Private Client Group

Lee Munder Investments, Ltd. 05/2006 – 07/2009  
Portfolio Manager

### **Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item.

### **Other Business Activities**

None

### **Additional Compensation**

Bonuses are formula driven and are generally based on assets managed, revenues, and where applicable performance relative to peer groups.

### **Supervision**

James Gribbell serves as a Portfolio Manager at LMC G Investments, LLC (LMC G), and reports to the Firm's Chief Executive Officer Kenneth L. Swan, who can be reached at (617) 380-5600.

The advice Mr. Gribbell provides to clients is monitored by the Investment Review Committee and the Director of Risk and Investment Strategy.



# **BROCHURE SUPPLEMENT**

**MATTHEW S. GULESERIAN, CFA**

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## **Matthew S. Guleserian, CFA**

Year of Birth: 1967

### **Educational Background and Business Experience**

Education: Babson College BS  
Babson Graduate School MBA

Professional Designations: CFA (Chartered Financial Analyst)

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Business Background: LMCG Investments, LLC 07/2009 – present  
(Formerly known as Lee Munder Capital Group, LLC)  
Portfolio Manager, Fixed Income

Lee Munder Investments, Ltd. 10/2008 – 07/2009  
Portfolio Manager

### **Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this item.

### **Other Business Activities**

None

### **Additional Compensation**

Bonuses are formula driven and are generally based on assets managed, revenues, and where applicable performance relative to peer groups.

### **Supervision**

Matthew Guleserian serves as a Portfolio Manager at LMCG Investments, LLC (LMCG), and reports to the Firm's Chief Executive Officer Kenneth L. Swan, who can be reached at (617) 380-5600.

The advice Mr. Guleserian provides to clients is monitored by the Investment Review Committee and the Director of Risk and Investment Strategy.



## **BROCHURE SUPPLEMENT**

**NAN-MARIE JAEGER, CFA**

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## **Nan-Marie Jaeger, CFA**

Year of Birth: 1954

### **Educational Background and Business Experience**

Education: St. Joseph's College BA  
Boston College MBA

Professional Designations: CFA (Chartered Financial Analyst)

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Business Background: LMCG Investments, LLC 07/2009 – present  
(Formerly known as Lee Munder Capital Group, LLC)  
Portfolio Manager, Private Client Group

Lee Munder Investments, Ltd. 04/2003 – 07/2009  
Portfolio Manager

### **Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item.

### **Other Business Activities**

None

### **Additional Compensation**

Bonuses are formula driven and are generally based on assets managed, revenues, and where applicable performance relative to peer groups.

### **Supervision**

Nan-Marie Jaeger serves as a Portfolio Manager at LMCG Investments, LLC (LMCG), and reports to the Firm's Chief Executive Officer Kenneth L. Swan, who can be reached at (617) 380-5600.

The advice Ms. Jaeger provides to clients is monitored by the Investment Review Committee and the Director of Risk and Investment Strategy.



## BROCHURE SUPPLEMENT

**JOHN R. LAYTON, CFA**

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## **John (Jake) R. Layton, CFA, CIC**

Year of Birth: 1954

### **Educational Background and Business Experience**

Education: Kenyon College BA  
University of Michigan MA

Professional Designations: CFA (Chartered Financial Analyst) / CIC (Chartered Investment Counselor)

The Chartered Financial Analyst (CFA) charter is a globally respected, graduate-level investment credential awarded by the CFA Institute. To obtain a CFA designation, a candidate must meet one of the following requirements: undergraduate degree and four years of professional experience involving investment decision-making, or four years qualified work experience and successfully complete three course examinations. The CFA Program curriculum provides a comprehensive framework of knowledge for investment decision making and is firmly grounded in the knowledge and skills used every day in the investment profession.

The CIC designation is offered by the Investment Adviser Association. To obtain a CIC designation, a candidate must meet all of the following requirements: be employed by a member firm of the IAA in an eligible occupational position for at least one year; have a minimum of five cumulative year's work experience in one or more eligible occupational positions; spend more than 50 percent of time in a position involving a combination of investment counseling and portfolio management activities; submit three letters of recommendation; and hold the CFA designation. Annually a charter holder must certify that s/he is employed by an IAA member firm and has not been the subject of disciplinary proceedings.

Business Background: LMCG Investments, LLC 07/2009 – present  
(Formerly known as Lee Munder Capital Group, LLC)  
Portfolio Manager, Private Client Group

Lee Munder Investments, Ltd. 01/2003 – 07/2009  
Portfolio Manager

### **Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this item.

### **Other Business Activities**

None

### **Additional Compensation**

Bonuses are formula driven and are generally based on assets managed, revenues, and where applicable performance relative to peer groups.

### **Supervision**

Jake Layton serves as a Portfolio Manager at LMCG Investments, LLC (LMCG), and reports to the Firm's Chief Executive Officer Kenneth L. Swan, who can be reached at (617) 380-5600.

The advice Mr. Layton provides to clients is monitored by the Investment Review Committee and the Director of Risk and Investment Strategy.

## **BROCHURE SUPPLEMENT**

**CHRISTOPHER J. SABO, CFA**

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## **Christopher J. Sabo, CFA**

Year of Birth: 1985

### **Educational Background and Business Experience**

Education: Babson College BS

Professional Designations: CFA (Chartered Financial Analyst)

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Business Background:	LMCG Investments, LLC Director of Risk and Investment Strategy	02/2016 – present
	Standish Mellon Portfolio Analyst	12/2012 – 02/2016
	J. P. Morgan Financial Advisor	06/2010 – 12/2012

### **Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item.

### **Other Business Activities**

None

### **Additional Compensation**

Discretionary Bonus

### **Supervision**

Christopher Sabo serves as Director of Risk and Investment Strategy at LMCG Investments, LLC (LMCG), and monitors LMCG's portfolio management teams and investment strategies. He reports to the Firm's Chief Executive Officer Kenneth L. Swan, who can be reached at (617) 380-5600.

Mr. Sabo's activities are monitored by the Investment Review Committee and the Firm's Chief Executive Officer Kenneth L. Swan.

## BROCHURE SUPPLEMENT

**DAVID L. WEEKS**

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## **David L. Weeks**

Year of Birth: 1959

### **Educational Background and Business Experience**

Education: Lehigh University - Bachelor of Science, Finance

Business Background:	LMCG Investments, LLC Managing Director Relative Value Credit Strategy	05/2015 – present
	Serenitas Capital, LP Chief Investment Officer	05/2012 – 05/2015
	Bank of America Merrill Lynch (Formerly known as Merrill Lynch Pierce Fenner & Smith Inc.) Managing Director, Proprietary Trading	03/2006 – 06/2011

### **Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item.

### **Other Business Activities**

None

### **Additional Compensation**

Bonuses are formula driven and are generally based on assets managed, revenues, and where applicable performance relative to peer groups.

### **Supervision**

David Weeks serves as Managing Director – Relative Value Credit Strategy at LMCG Investments, LLC (LMCG), and reports to the Firm's Chief Executive Officer Kenneth L. Swan, who can be reached at (617) 380-5600.

The advice Mr. Weeks provides to clients is monitored by the Investment Review Committee and the Director of Risk and Investment Strategy.